



# Availability of Optical Network with Self-Healing Nodes Designed by Architecture on Demand

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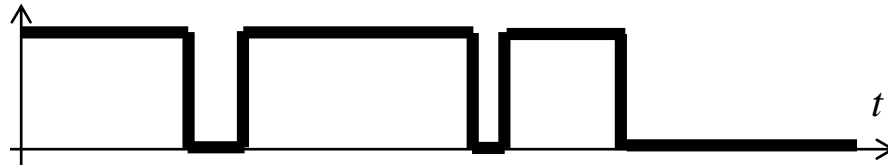
# **RELIABILITY AVAILABILITY SECURITY**

# What kind of quality do we expect from a system?



WORKS  
CORRECTLY

OFF



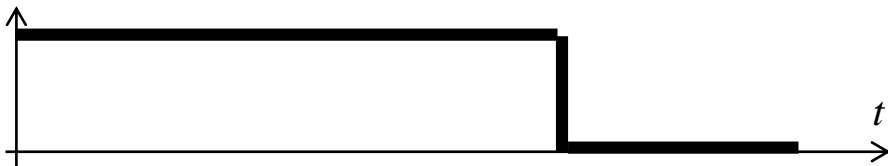
to work correctly required time, tolerating failures

**Internet**

**Train**

WORKS  
CORRECTLY

OFF



to work correctly required time without failure

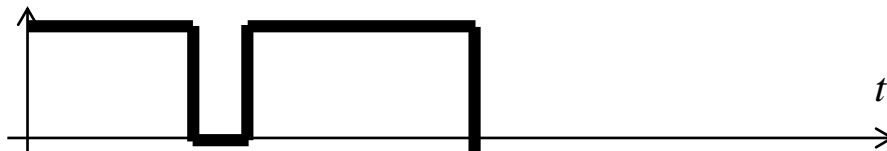
**Computer**

**Aircraft**

**Elevator**

WORKS  
CORRECTLY

OFF



WORKS  
INCORRECTLY

to work correctly or to be in idle secure state in required time

**Traffic lights**

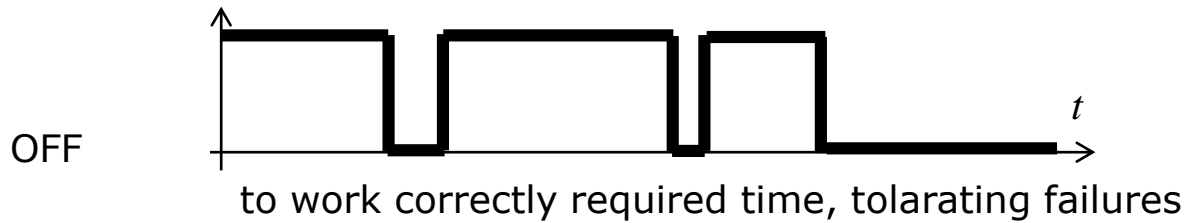
**Pace maker**

**Internet**

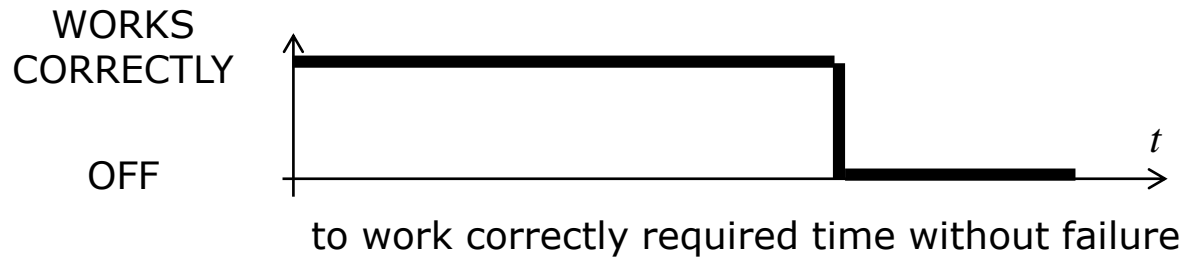
**Computer**

**Money transfer**

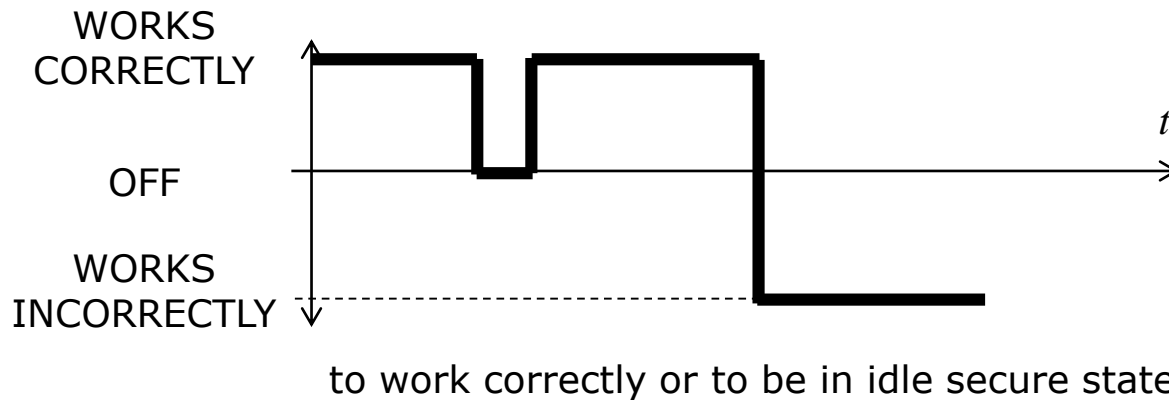
# What kind of quality do you expect from a system? (2)



**Internet**  
**Computer**



**Aircraft**  
**Pace maker**



**Elevator**  
**Train**  
**Traffic lights**  
**Money transfer**



- **System reliability**  $R(t)$  is the probability that the system works correctly in the period of time  $t$  under defined **environmental** conditions.
- **System availability**  $A(t)$  is the probability that the system works correctly at the time point  $t$ .
- **System security (safety)**  $S(t)$  is the probability that the system works correctly or does not work at all in the period of time  $t$  under defined environmental conditions.



- Large volume of traffic is carried over transmission networks
- Loss of service means loss of income:
  - Direct losses, service cannot be charged
  - Indirect losses
    - Penalties – “**SLA** not fulfilled”
    - Loss of clients
- **SLA** – *Service Level Agreement*

# Availability – proper quality measure in communications?



*Availability* → Is it proper quality measure for **communication systems and networks**?

The most important question:

What are the **consequences** of an **outage** of a communication system or network?

→ loss of money for operator and some troubles for subscribers → **but not a catastrophic event.**

- **Low** availability → **high** traffic losses
- **High** availability → **low** traffic losses, but **high** development, manufacturing and spare capacity costs.
- **Optimal** availability → **compromise** of quality and cost

For special communication services → other requirements could be specified (i.e. real time remote control ( $R$ ) or money transfer ( $S$ )).

# An example: Availability of optical transport system?



**Example:** **1** optical cable/**24** fibres/**32**  $\lambda$  per fibre, **60%** utilization

**Single wavelength** ( $\lambda$ ) (**40** Gbit/s): SDH transport network

$$1 \times \text{STM-256} = 256 \text{ STM-1} = 256 \times 63 E_1 =$$

$$= 256 \times 63 \times 30 E_0 = 483,840 \text{ ch}/\lambda \quad (E_0 = 64 \text{ kbit/s})$$

Assuming channel ( $E_0$ ) interconnection price:  $C_{ch} = 0.01 \text{ €/ch/min}$

1 minute of a wavelength ( $\lambda$ ) unavailability (**LOR\*** per **min** per  $\lambda$ )

$$\text{LOR}_{\text{min}/\lambda} = 483,840 \times 0.01 \approx 5,000 \text{ €/min}/\lambda$$

**For entire cable:**  $\times 24 \times 32 \times 0.6 = 2,229,534.72 \approx$

$$\text{LOR}_{\text{min}/\text{cable}} \approx 2 \text{ M€/min/cable}$$

**Availability** of **A = 0.99999** (unavailability **U = 10<sup>-5</sup>**) amounts

**MDT\*\* = 5.256 min/year** (service unavailability per year)

$$\text{LOR}_{\text{year}/\text{cable}} = 11,718,434.49 \text{ €} \approx 12 \text{ M€/year/cable}$$

**LOR\*** – Loss of Revenue

**MDT\*\*** – Mean Down Time, (**MDT = U × 525,600 min/year**)





# **RELIABILITY & AVAILABILITY OF COMMUNICATION NETWORK**



$A_{s,t}$   **$s,t$ -availability** the most common measure:  
“**communication network availability**”  
(non-linear measure)

**$s,t$ -availability** of communication network is the worst node pair  $(s, t)$  availability among all pairs of nodes.

$A_g$   **$g$ -availability** probability that all  $n$  nodes in a network are connected ( $k=n$ )

$A_{i,j}$   $i,j$ -availability node pair  $(i, j)$  availability (**basic measure**)

$A_{av}$   $av$ -availability average availability (**linear measure**)

$A_k$   $k$ -availability probability that  $k$  nodes in a network are connected (if  $k=2 \rightarrow s,t$ -availability)  
(**the most general measure**)



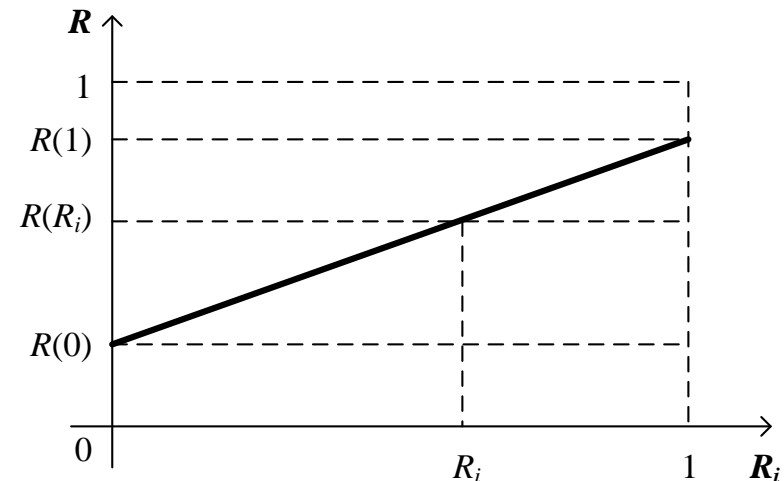
Taylor's formula

$$R(R + \Delta R_i) = R(R_i) + \frac{dR}{dR_i} \Delta R_i + \frac{1}{2} \frac{d^2 R}{dR_i^2} \Delta R_i^2 + \dots$$

Each complex reliability function is **linear** function of a single element reliability.

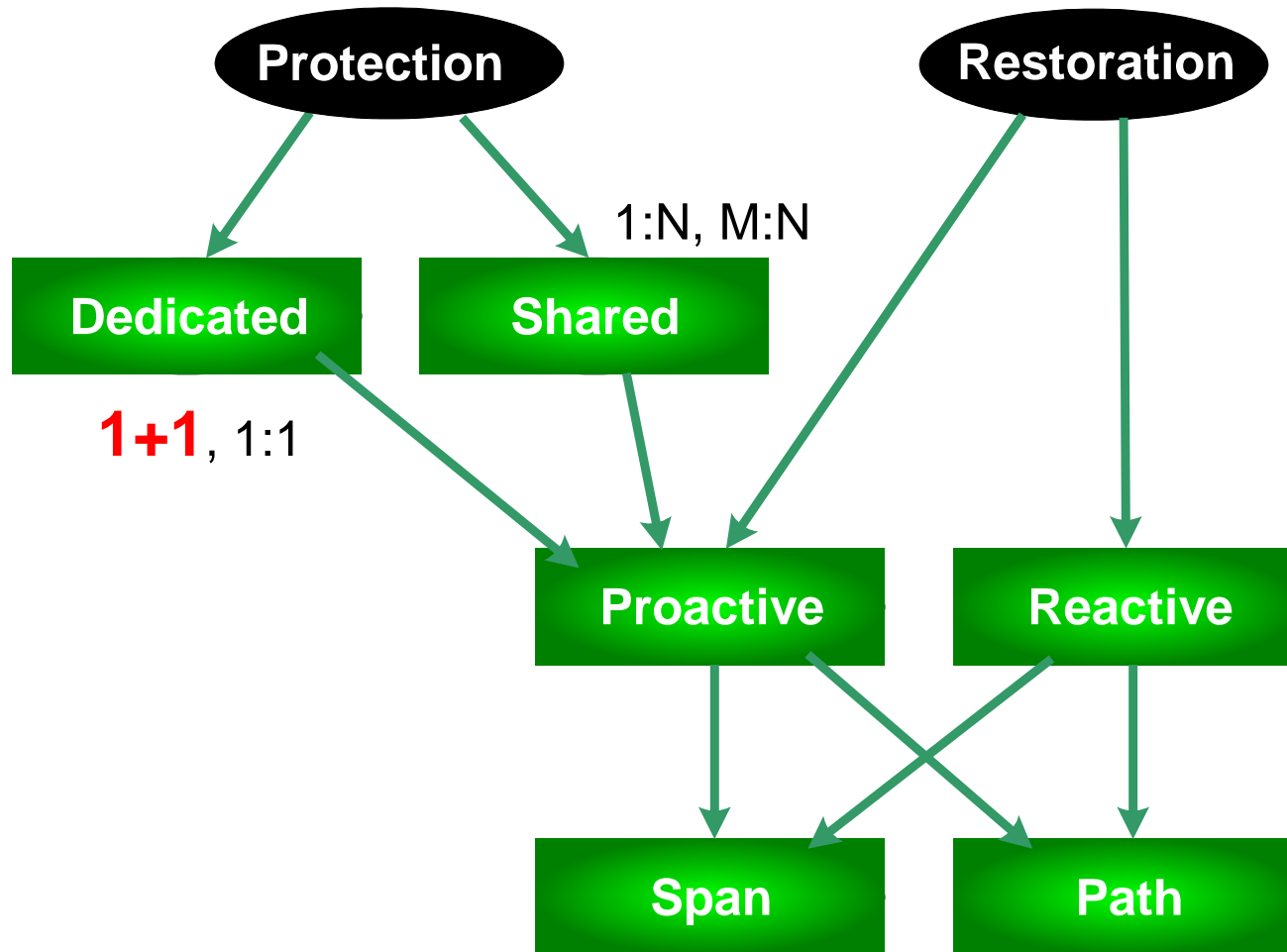
$$R = S_i R_i + K \quad \frac{dR}{dR_i} = S_i$$

$$R(R + \Delta R_i) = R(R_i) + S_i \Delta R_i$$



Reliability sensitivity  $S_i$  on changes of reliability  $R_i$  of the component  $i$ :

$$S_i = \frac{dR}{dR_i} = \frac{\Delta R}{\Delta R_i} = \frac{R(R_i) - R(0)}{R_i} = \frac{R(1) - R(R_i)}{1 - R_i} = \frac{R(1) - R(0)}{1 - 0} = R(1) - R(0)$$





## **Case study:**

# **Availability of Optical Network with Self-Healing Nodes Designed by Architecture on Demand**

**Can be Architecture on Demand Nodes Used to  
Improve Availability of Optical Networks?**

*Joint project of  
University of Zagreb and University of Bristol*



Hard-wired (HW) optical node architectures

- ↓ Low level of architectural flexibility and scalability.
- ↓ Unnecessary components in the chain → lower availability

Recovery after any node component failure is possible only on the network level

- ↓ there is a need for **number of protocol steps**
- ↓ switchover time in the range of **seconds**.



Usage of **additional switching elements**

(e.g. mirrors in 3DMEMS or collimator arrays in piezo electric switch)

## Disadvantage:

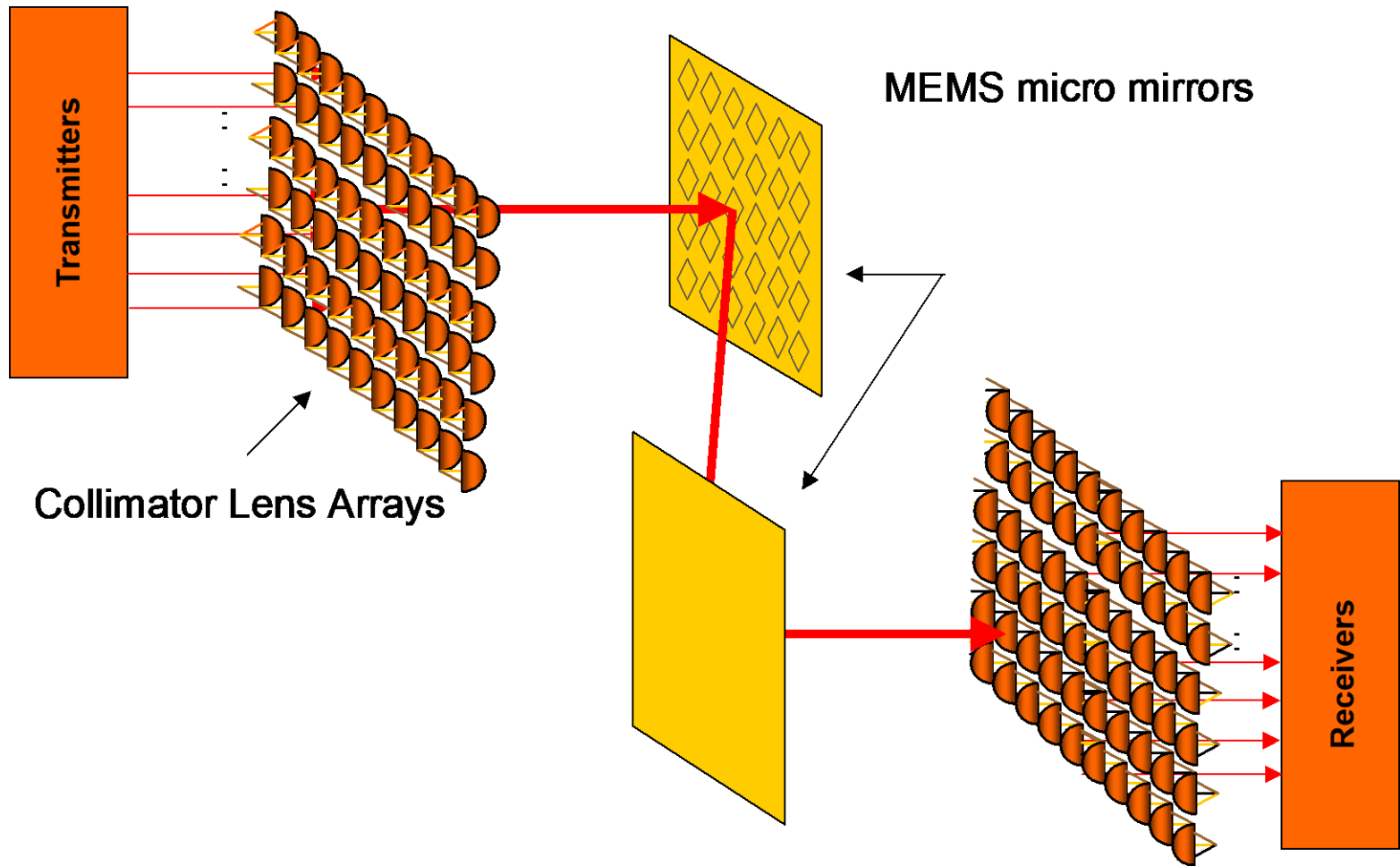
↓ Additional switches → **higher node cost** → **lower availability**

## Advantages:

↑ **arbitrary interconnection** of optical components

↑ **additional functionality**

↑ **different switching granularities**,  
*fibre, waveband, wavelength and sub-wavelength*  
(time)





# Additional advantage: Self-healing in AoD nodes



**Self-healing** after a failure of AoD node component is based on switchover to the **node redundancy**:

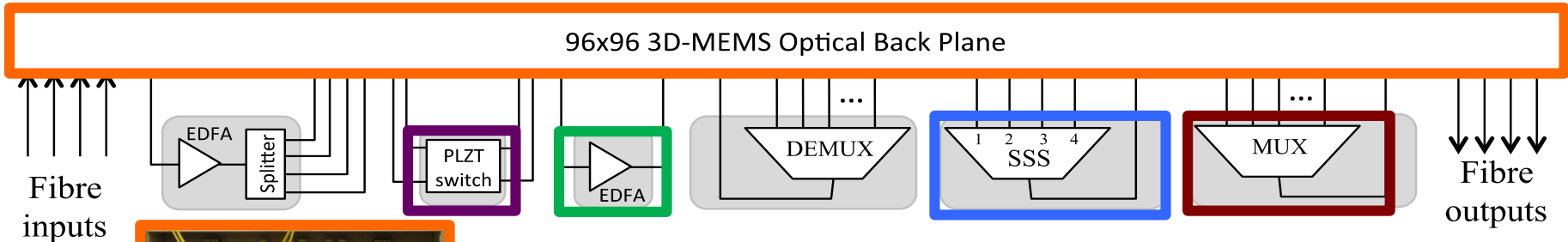
- ❑ **intentionally added for survivability enhancement**
- ❑ **created by releasing unused components**
  - ❖ At lower traffic load in a node ➔ surplus of components are released and used as **redundant**
  - ❖ By grouping of wavelength or waveband paths into **fibre-switched paths** ➔ node components are released and used as **redundant**.

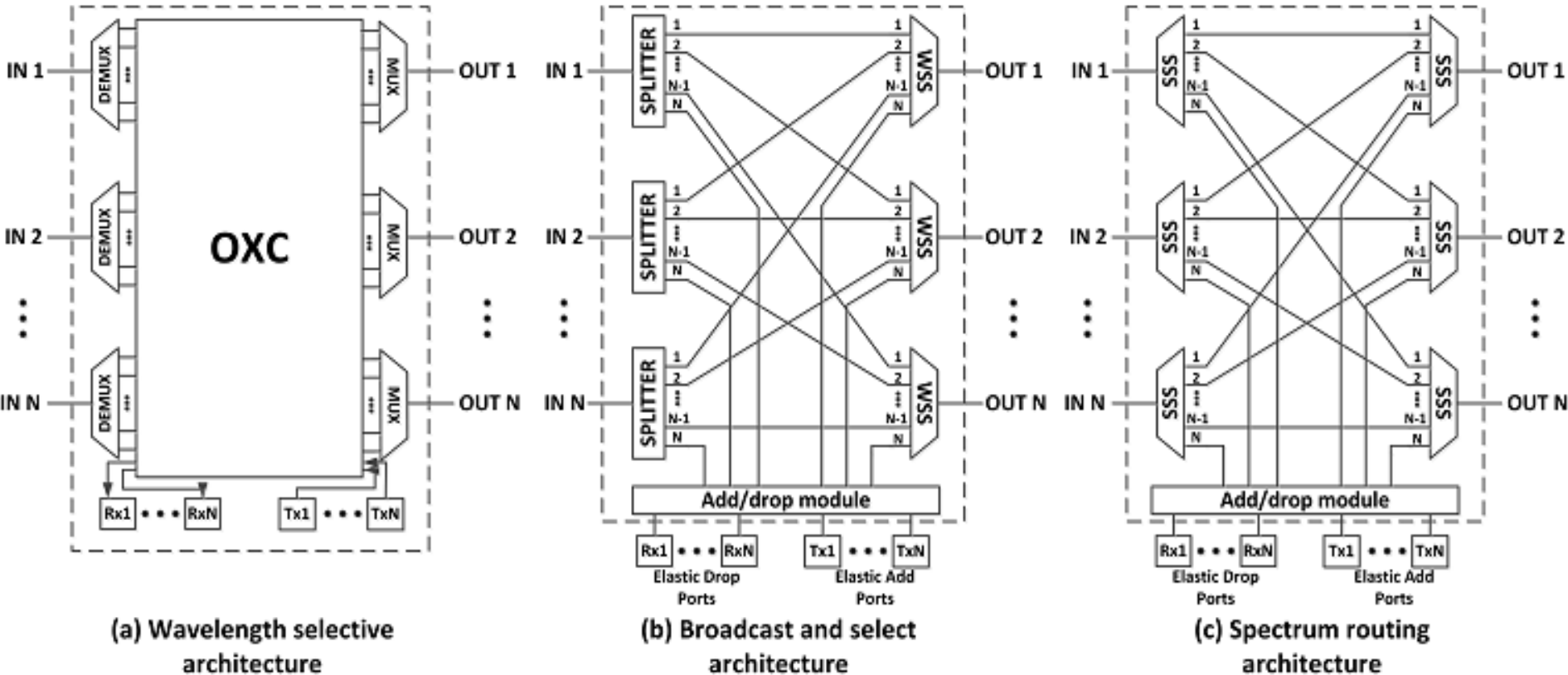
**Basic question: If the redundancy + self-healing ➔  
➔ higher availability?**

# Architecture on Demand (AoD) experiment

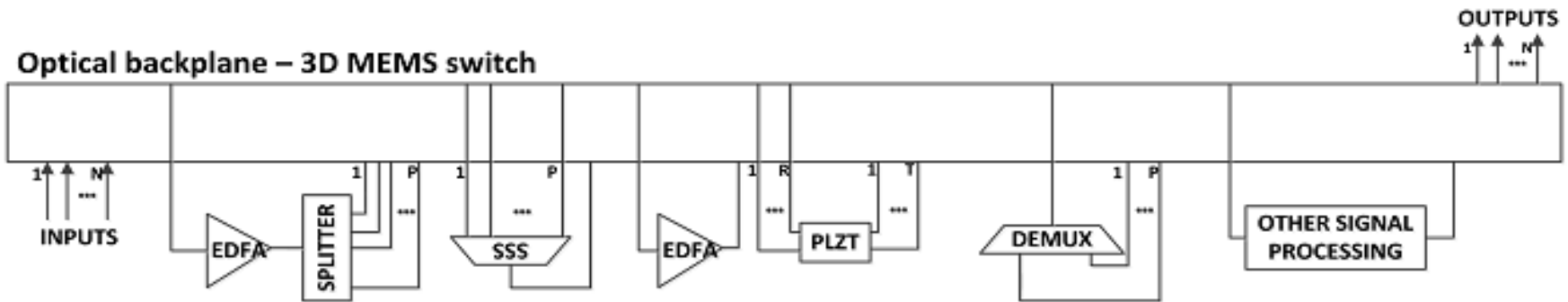


- Backplane provides connectivity and reconfiguration
- Modules provide required functionality, e.g. **amplification**, **spectral demultiplexing**, **subwavelength switching**, etc.





# Node with Architecture on Demand



(d) Architecture on Demand



## Use of redundancy

- All redundant components can be used on-the-fly for self-healing
  - provide backup resources for paths on the **optical node level** - switchover time at node level ➔ **10 milisecond** range (when 3D MEMS is applied)
  - no necessity for path rerouting on the **optical network level** - path recovery at network level - switchover time in **second** range.
- Only necessary components are traversed by a path ➔ **less components** ➔ **higher path** and **network availability**



## Additional switches

vs.

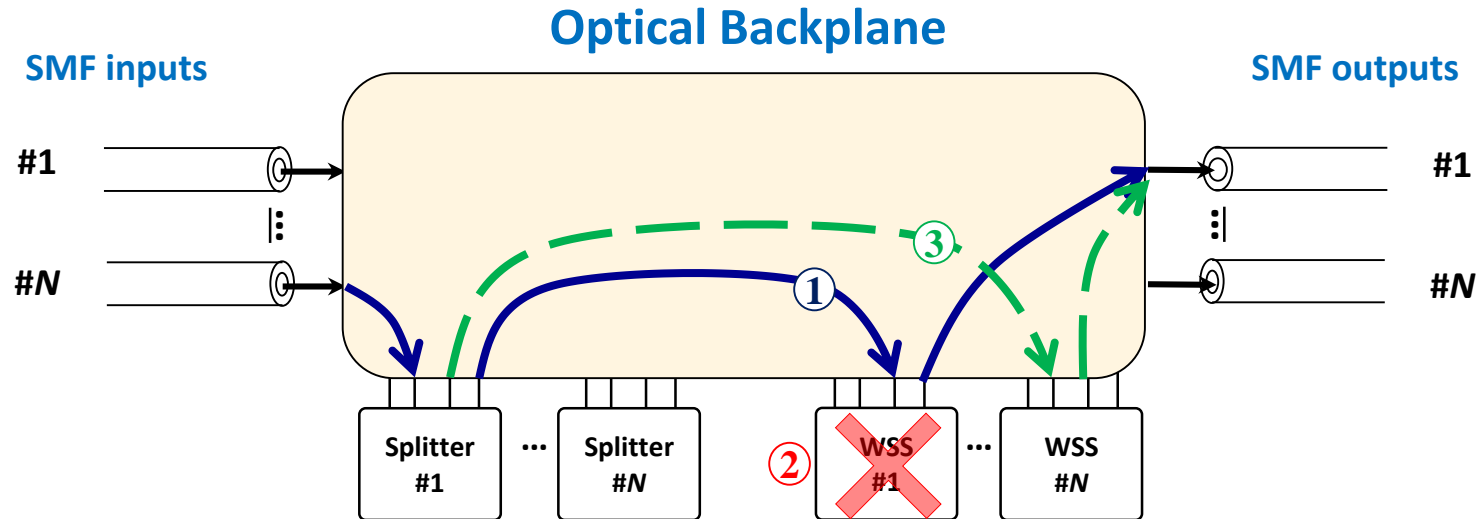
## Redundancy & Self-healing

☹️ **Lower availability & High AoD cost ➡ Higher operator's revenue losses**

☹️ **Higher power consumption**

😊 **Higher availability ➡ Lower operator's revenue losses**

😊 **Lower no. of active components ➡ Lower power consumption**

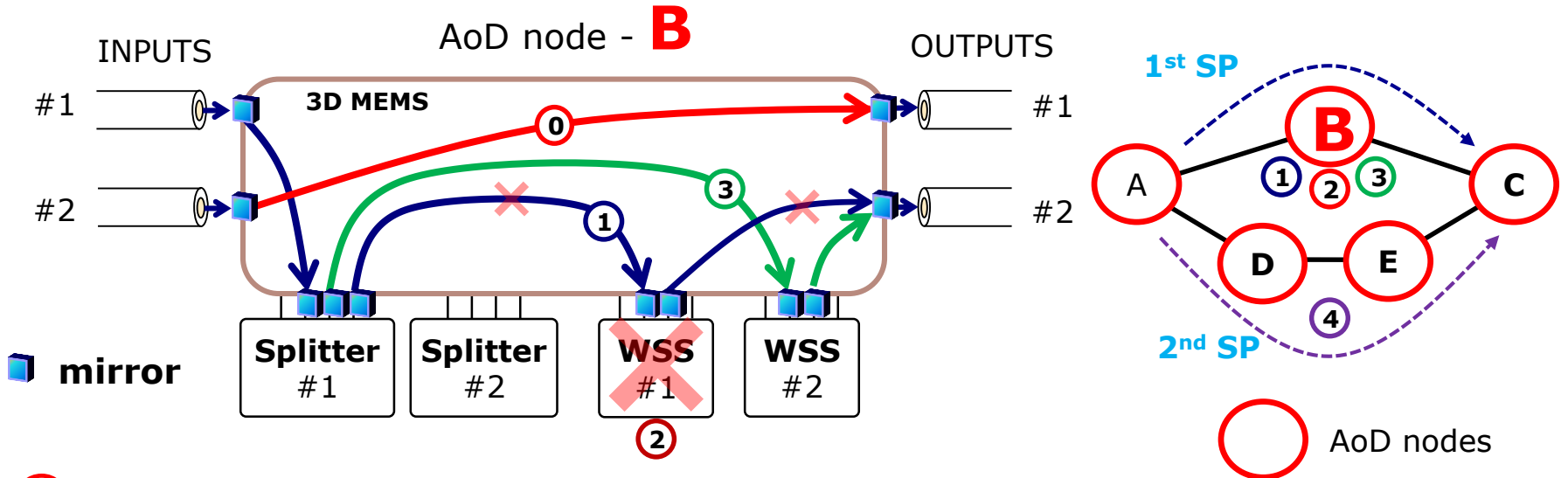


① **Original cross-connection from input  $N$  to output 1**

② **Component WSS#1 goes to a failure**

③ **Self-healing: New cross-connection to use idle WSS#N**

- Restoration time  $\approx 20$  ms ✓
- Redundancy for any type of component without disturbing the existing connections ✓
- Capable of utilizing idle working components as redundant ✓
- Usage of expensive optical backplane (3D-MEMS) ✗





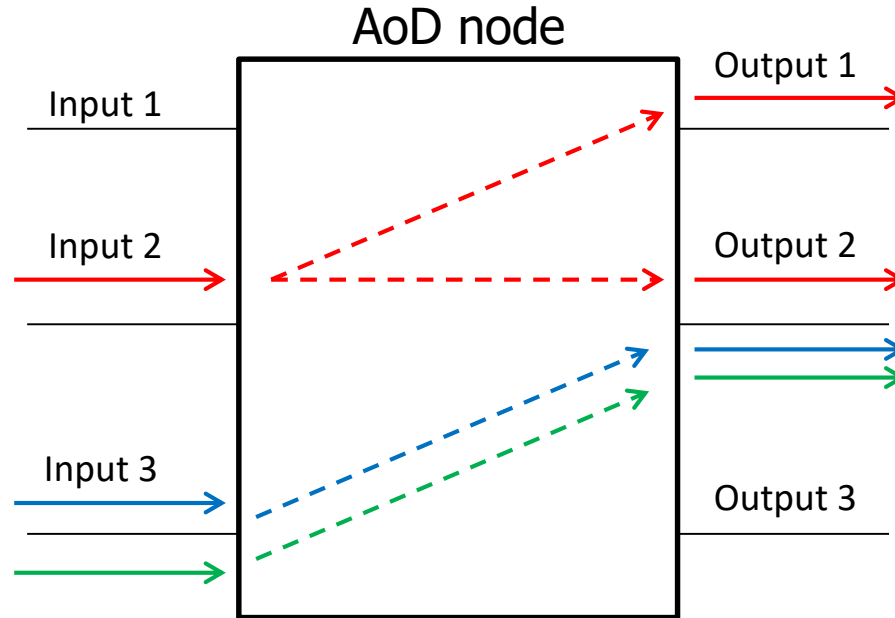


- *HWnet* and *AoDnet* are compared using availability measures:
- ***s,t-availability* ( $A_{s,t}$ )** - **minimal** value of all ***i,j-availabilities* ( $A_{i,j}$ )** among all node pairs - represents the worst end-to-end connection in a network.
- ***g-availability* ( $A_g$ )** is the probability that **all** end-to-end connections are in working state.
- ***Mean down time* ( $MDT_g$ )** is the time, in minutes per year, when at least one end-to-end connection is broken:  
 $MDT_g = (1 - A_g) \times 525,600$  minutes/year.



## Availability evaluation

- **1<sup>st</sup> shortest and 2<sup>nd</sup> shortest paths** are found for each source and destination node pair of connection requests.
- Each node is reconfigured for all paths which fulfil the requirements for **fibre switching (FS)**. A **SPL** and one **WSS** are released and placed in the node **spare bank**.
- For reconfigured network, **Monte Carlo** failure/repair simulation is carried out.



**Fibre switching POSSIBLE!**

**Fibre switching NOT POSSIBLE!**

- **Fibre switching** can be enforced via **careful routing on the network level**
- Main idea: Allow for fibre switching in nodes by rerouting the extra lightpaths

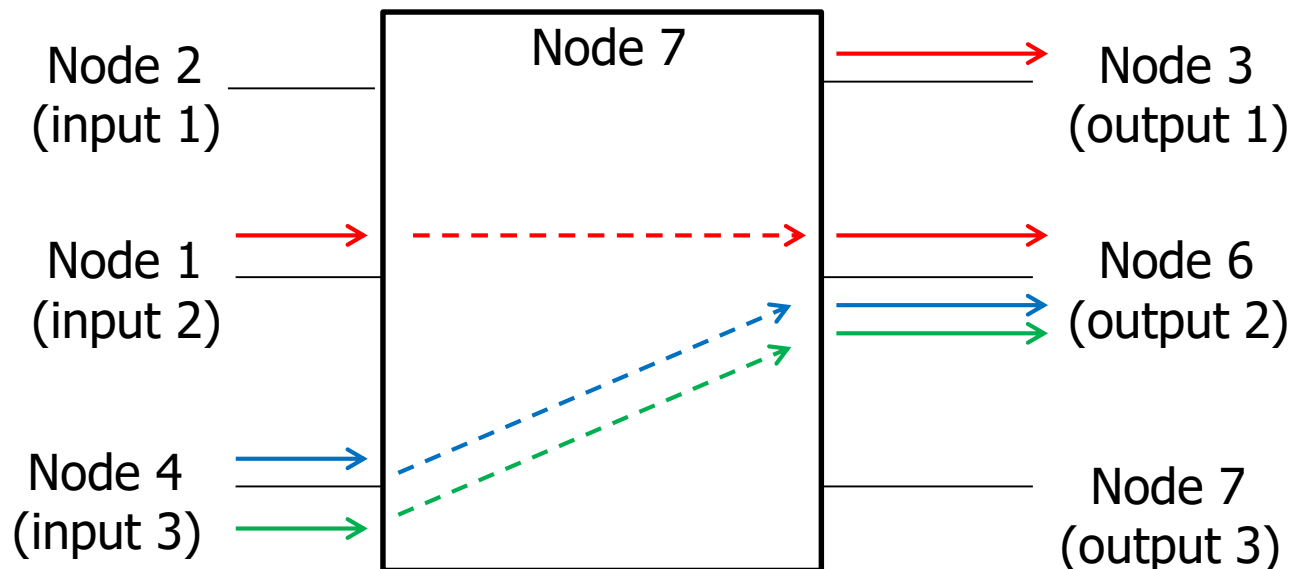
$$fs\_ratio \text{ (node } n, \text{ input port } i, \text{ output port } j) = \frac{\# \text{lightpaths which use port } i \text{ and port } j}{\# \text{lightpaths which use either port } i \text{ or port } j}$$

Must be rerouted to allow for fibre switching

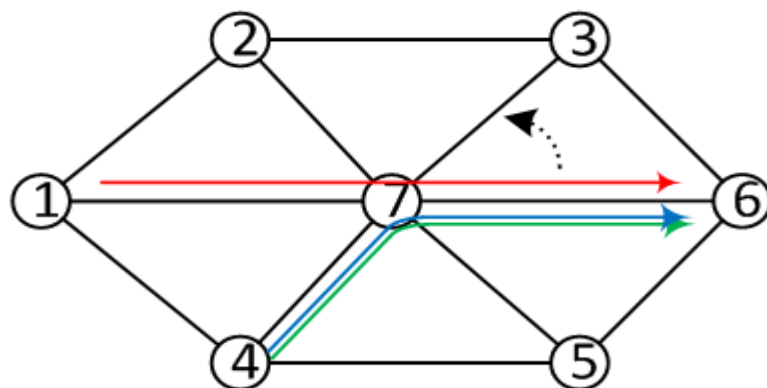
$$fs\_ratio = \frac{2}{1} = 2$$

Can be fibre-switched between ports  $(i,j)$  in node  $n$

# Enforcing fibre switching – network level

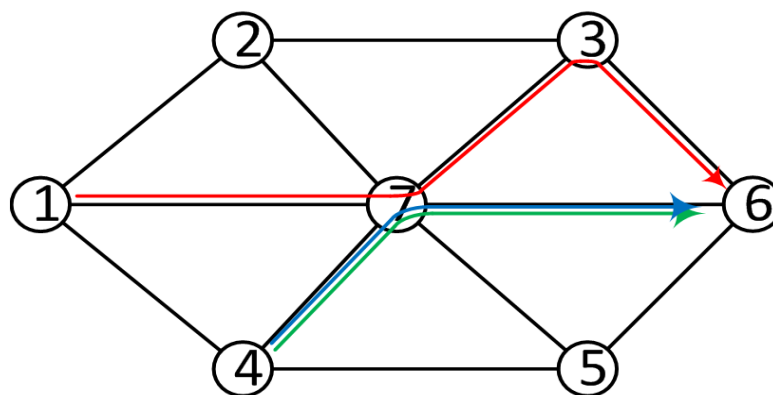
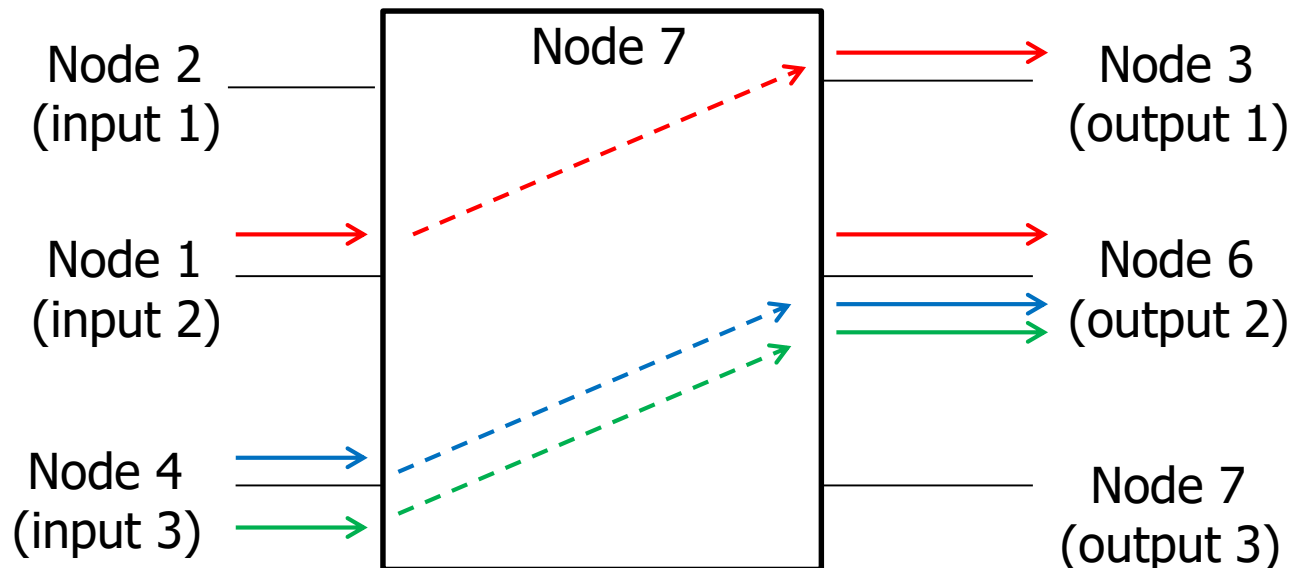


**Red lightpath  
needs to be  
re-routed in  
node 7!**



**Fibre  
switching  
NOT  
POSSIBLE in  
node 7!**

# Enforcing fibre switching – network level



**Fibre  
switching  
POSSIBLE by  
routing on  
the network  
level!**

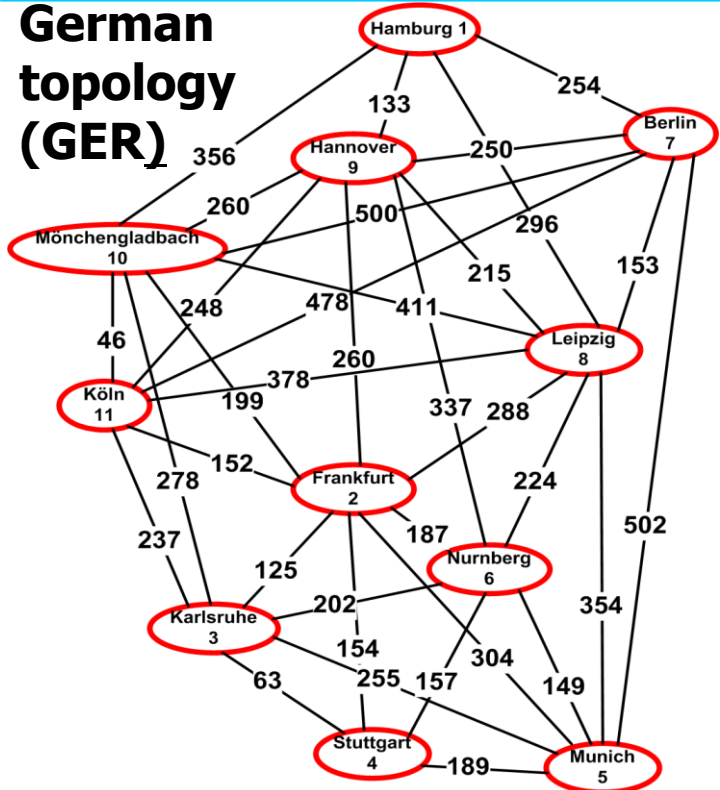
# An example network – assumptions



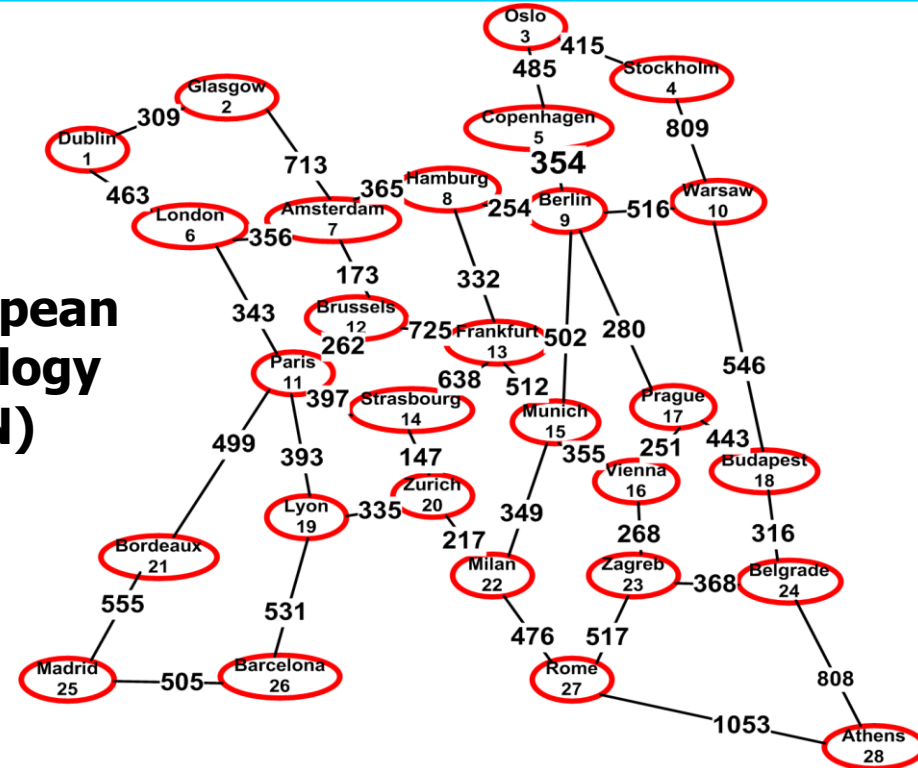
- **German topology** (11 nodes and 34 links).
- Network protection scheme: **1+1**.
- The traffic load in the network is assumed to be **static**.
- **Populations & distance** traffic calculus for each node pair.
- Traffic requirements are fulfilled by multiples of **10 Gbit/s** lightpaths.
- No. of *SPLs* or *WSSs* = node degree.
- Each optical end-to-end connection → pair of paths:
  - first shortest path (1<sup>st</sup> SP) - working path and
  - second shortest path (2<sup>nd</sup> SP) - backup path (independent of the working path).



## German topology (GER)



## European topology (EON)



	Min. ND	Max. ND	Avg. ND
GER	4	8	6.18
EON	2	5	2.93

Higher ND (node degree) → more routing possibilities



## Simulation setup

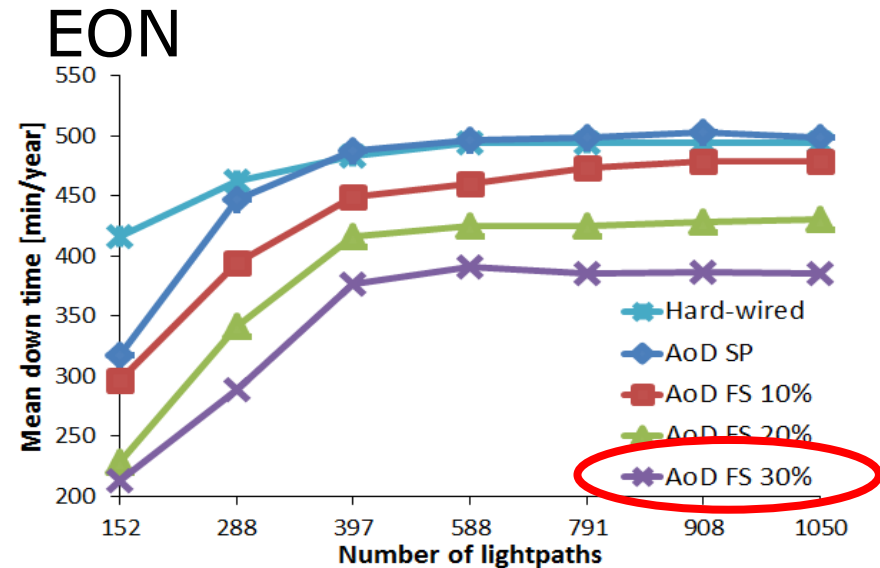
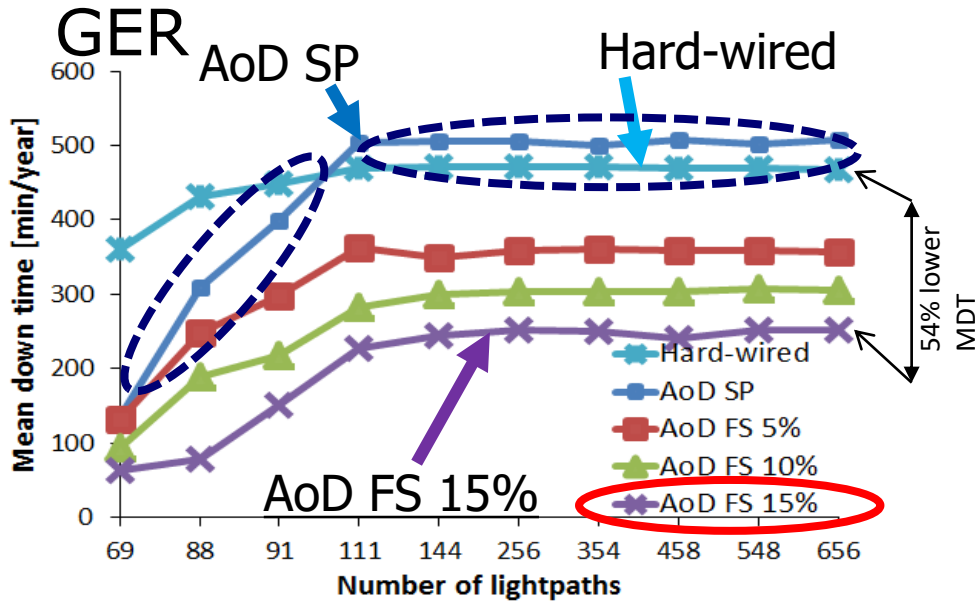
- Monte Carlo failure/repair simulation
  - C++ custom build simulator
  - Goal: evaluate availability and associated revenue losses
  - Traffic generation → population-distance based method
  - We simulate **failures and repairs** of MEMS mirrors, splitters and WSSs based on component failure (repair) rates
  - Simulated time =  $10^9$  hours, encompassing over 5,000,000 **simulated events**
  - We consider two cases:
    - Shortest path (SP) routing algorithm when hard-wired nodes are used
    - Enforced Fibre Switching (EFS) routing algorithm when AoD nodes are used.





## Monte Carlo simulation

- Evaluation of **end-to-end connections'** successfulness for different total **traffic loads**.
- Using Monte Carlo simulation exponential distributed **times to failure/repair** of nodes and links are generated .
- When an AoD node component fails, node **spare bank** is checked for idle component identical to the failed type.
- If there is no idle component in the spare bank or in the case of a link failure, the recovery procedure is switched over to the **network level**, using **1+1 protection**.
- Simulated time =  **$10^9$  hours**, with  **$10^5$  simulated events**



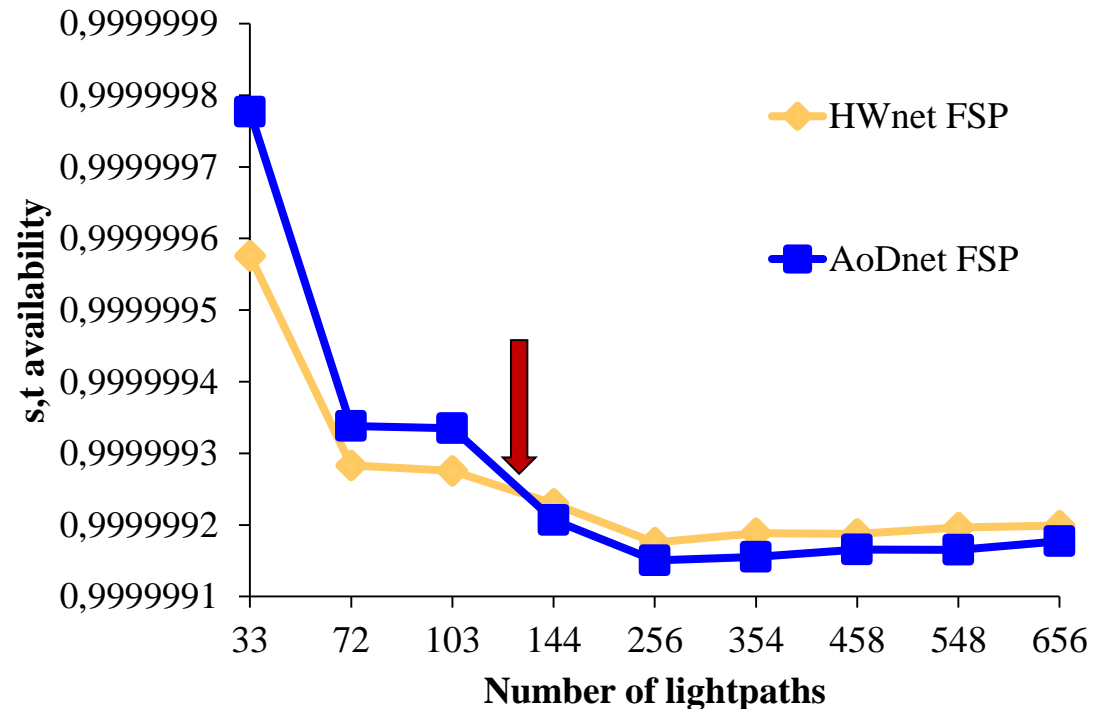
- The MDT obtained for following cases:
  - Hard-wired nodes with the shortest path (SP) and AoD nodes with SP/EFS
- Under low traffic – AoD with SP achieves lower MDT
  - Some components remain idle – used as redundancy
- Under high traffic– all components used
  - MDT of AoD with SP surpasses MDT of the hard-wired architecture
  - When SP is replaced with EFS, the MDT for AoD significantly decreases

# Simulation results – HWnet vs. AoDnet



At **low traffic** loads  
 → logical topologies are **not fully connected** →  
 higher no. of idle  
 components.

At **high traffic** loads  
 → logical topologies are **fully connected** → lower  
 no. of idle components.



**HWnet FSP** – HW network – with fixed shortest path routing

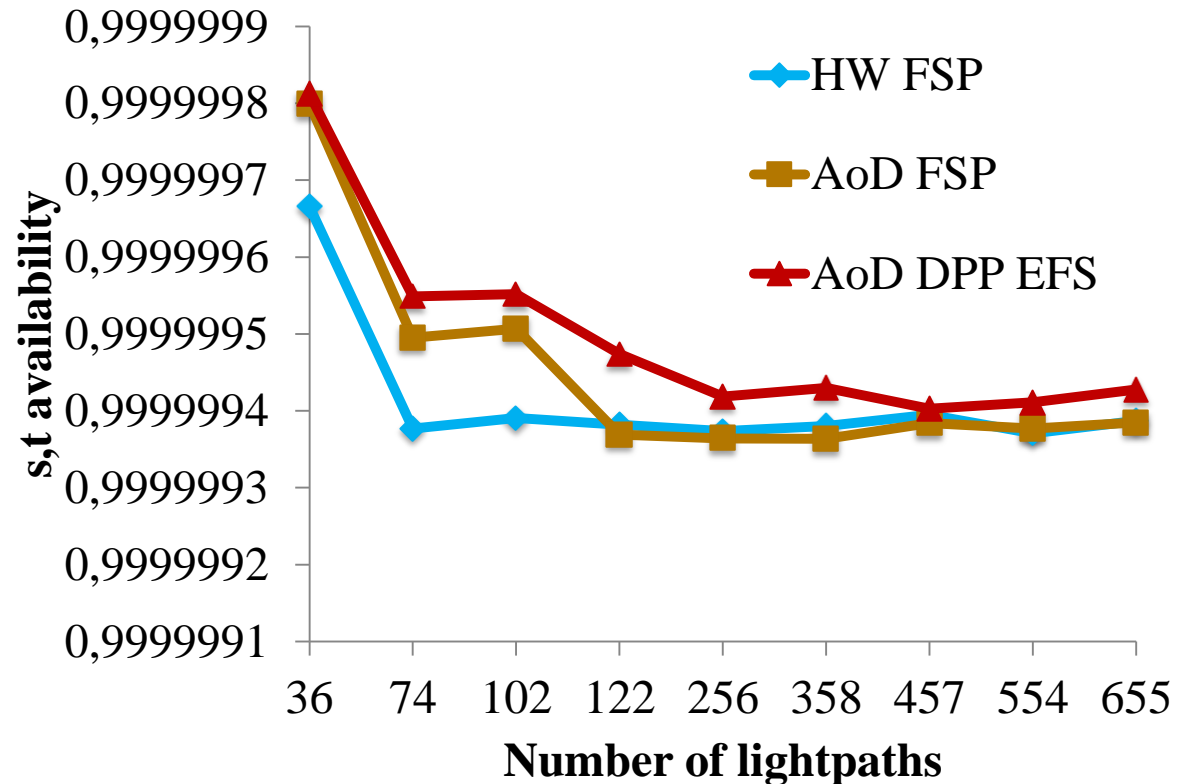
**AoDnet FSP** – AoD network – with fixed shortest path routing

# Simulation results – Enforced fibre switching (EFS)



**DPP EFS** (dedicated path protection) + **enforced fibre switching**

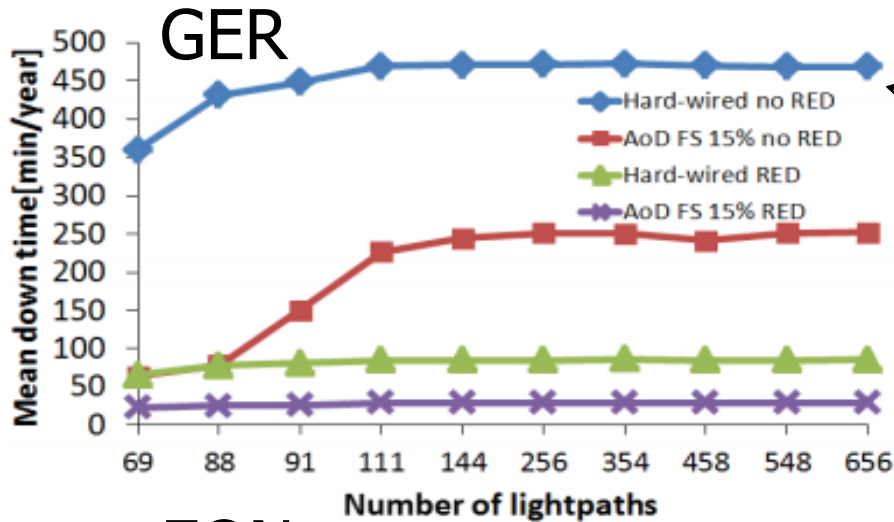
**EFS** - Initial **shortest** path layout → transformed to **optimised** path routing in order to increase no. of fibre-switched (**FS**) paths → increase no. of redundant components.



## Trade off

↓ Availability of **prolonged** paths vs ↑ Availability of **FS** paths

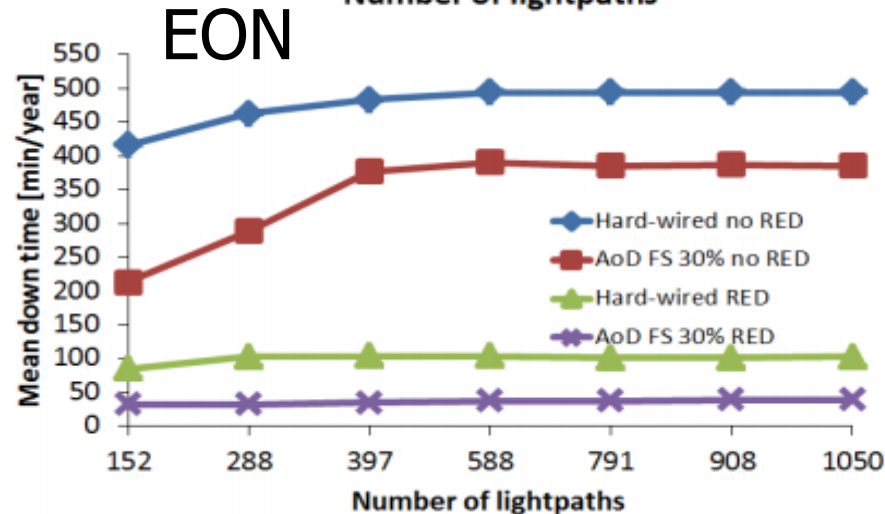
# Results – added redundant components



Without  
redundancy

HW 6x lower MDT  
AoD 9x lower MDT

With  
redundancy



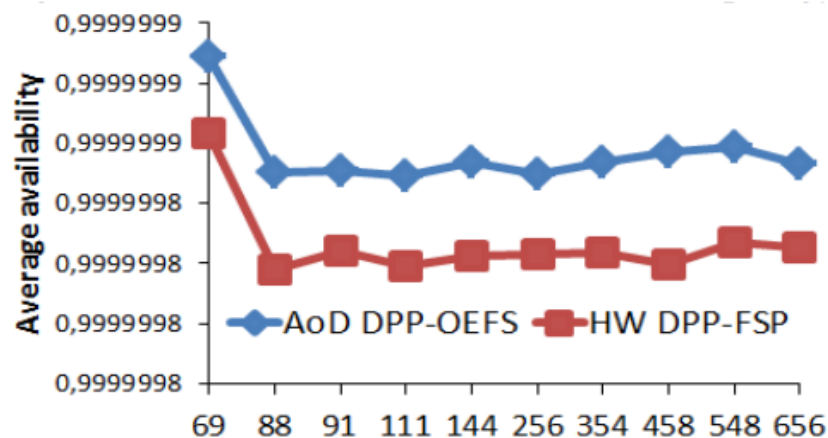
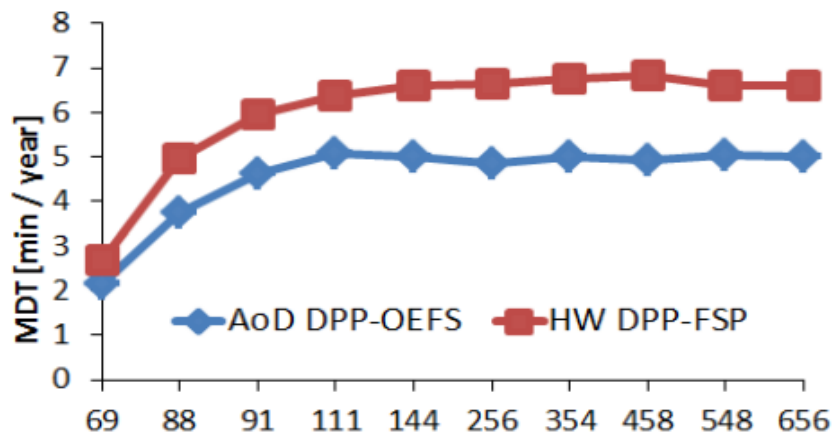
Without  
redundancy

HW 5x lower MDT  
AoD 10x lower MDT

With  
redundancy



- Average link distance **242 km**
- MDT for AoD with DPP-OEFS is lower 25%

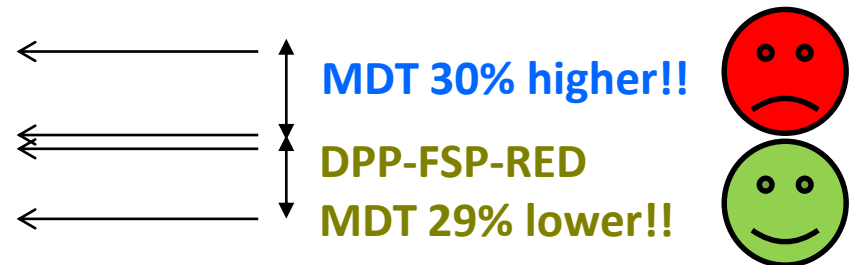
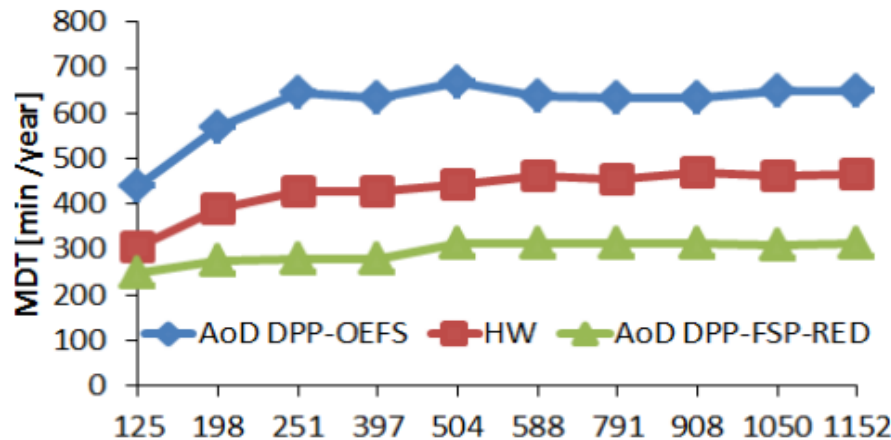




- Average link distance **432 km**
- In most cases, re-routed lightpaths can **NOT** compensate failure rate increase caused by extension of lightpath length.
- MDT approximately **higher 30% over all test cases**

## PROBLEM? HOW TO DECREASE MDT??

- **DPP-FSP-RED** - added redundant WSS in 8-out-of-28 nodes
- **Cost increased 9%, while MDT was decreased 29%**





- The availability benefit of using AoD nodes with self-healing capability, compared to HW nodes, is **evident at lower traffic loads** using fixed shortest path (FSP) routing without additional investments in redundancy.
- If a tailored routing algorithm, with **enforced fibre switching (EFS)**, is used, benefit of AoD deployment is extended to higher traffic loads.
- **Always exists the possibility - AoD self-healing capability can be improved by investement in redundant node components dedicated for failure recovery.**